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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/830,047

04/23/2004

Erik Janzen

35947-202834

**CONFIRMATION NO. 8712** 

26694 VENABLE, BAETJER, HOWARD AND CIVILETTI, LLP P.O. BOX 34385 **WASHINGTON, DC 20043-9998** 

**FORMALITIES LETTER** 

\*OC00000013199130\*

Date Mailed: 07/12/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Migsing Parts

09/13/2004 YPOLITE1 00000051 10830047

Commissioner for Patents

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Alexandria VA 22313-1450

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## **FEE TRANSMITTAL** for FY 2004

0/830,047 Application Number April 23, 2004 Filing Date First Named Inventor Erik JANZÉN et al. **Examiner Name** TBA

Complete if Known

Patent fees are subject to annual revision.

Group / Art Unit 1765

TOTAL	- 7 00				_170			ey Docke	J. 140.		. <del>7</del> -202834 	
				PAYMENT (check						FEE C	ALCULATION (continued)	
1. 🗆	The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		ge		DITIONAL Large Entity		Small Entity					
Depos		22-0	261				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fed Pai
Numb		22-0	201				1051	130	2051	65	Surcharge - late filing fee or oath	130
Denos	eit					_	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit Account VENABLE LLP					1053	130	1053	130	Non-English specification			
<u>Name</u>							1812	2,520	1812	2,520	For filing a request for reexamination	
☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17				1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action				
	See 37 (	CFR 1.27		ty status.			1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
2. 🛛	Payme	ent Enclo	sed:				1251	110	2215	55	Extension for reply within first month	
Ø	Check	□ C	redit card	Money Order	☐ Other		1252	420	2252	210	Extension for reply within second month	
			EEE C	ALCULATION			1253	950	2253	475	Extension for reply within third month	
1. B	ASIC FI	LING FE		ACCOLATION			1254	1,480	2254	740	Extension for reply within fourth month	
arge	Entity	Small	Entity				1255	2010	2255	1005	Extension for reply within fifth month	
ee	Fee	Fee	Fee	Fee Description			1401	330	2401	165	Notice of Appeal	
ode	(\$)	Code	(\$)	14774 - 57 - 5-	Fee F	'ald	1402	330	2402	165	Filing a brief in support of an appeal	
001	770	2001	385	Utility filing fee			1403	290	2403	145	Request for oral hearing	
002 003	340 530	2002 2003	170 265	Design filing fee Plant filing fee			1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1004	770	2004	385	Reissue filing fe			1452	110	2452	55	Petition to revive - unavoidable	
1005	160	2005	80	Provisional filling	fee		1453	1,330	2453	665	Petition to revive - unintentional	
		e	LIDTOTA	VI (4)	(4) 0		1501	1,330	2501	665	Utility issue fee (or reissue)	
		3	UBTOTA	(1)	(\$) 0		1502	480	2502	240	Design issue fee	
. EXTR	A CLAI	M FEES	,				1503	640	25403	320	Plant issue fee	
						ee	1460	130	1460	130	Petitions to the Commissioner	
tal Claim			•• -			Paid	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
dependent aims		===	•• =		= 0	===	1808	180	1808	180	Submission of Information Disclosure Stmt	
ultiple ependent				x [	= 0		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40
Large Fee	Entity Fee	/ Small Fee	Enti Fee	•			1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code 1202	(\$) 18	Code 2202	(\$) 9	Fee Descript Claims in exc			1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
201	86	2201	43		claims in excess o	of 3	1801	770	2801	385	Request for Continued Examination (RCE)	
203	290	2204	145	-	ndent claim, if not		1802	900	1802	900	Request for expedited examination	
1204	86	2204	43	** Reissue ind original paten	ependent claims	over			. 302		of a design application	
1205	18	2205	9	** Reissue cla over original p	ims in excess of a	20 and	Other	no (o	i.a			<u> </u>
			s	SUBTOTAL (2)	(\$) 0		Other N	ee (specif	y)	•		L

1	SUBMITTED BY	<u></u>		Complete (if applicable)		
I.	Name (Print/Type)	Eric J. Franklin	Registration No. Attorney/Agent)	37,134	Telephone	(202) 344-4000
l	Signature	Sair F	Zmille		Date	September 10, 2004



\*\*or number previously paid, if greater; For Reissues, see above

In Re	Erik JANZÉN	Group Art Unit: 1765
Application of:		Examiner: Not yet assigned
		) RESPONSE TO
Appl'n No.:	10/830,047	) NOTICE TO
		) FILE
Filed:	April 23, 2004	) <u>MISSING PARTS</u>
		)
Conf. No.:	8712	)
-	DEVICE AND ACTION FOR PROPUGNIC	)
For:	DEVICE AND METHOD FOR PRODUCING SINGLE CRYSTALS BY VAPOR DPOSITION	) 26694 PATENT TRADEMARK
		OFFICE
		)
Atty. Dkt.:	35947-202834	)
		September 10, 2004

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts dated July 12, 2004, submitted herewith are the following:

- Copy of Notice to File Missing Parts of Nonprovisional Application;
- Executed copy of Declaration/Power of Attorney;
- Executed copy of Assignment, with Recordation Cover Form Sheet; and
- Summary of fees due in Notice of Missing Parts of Nonprovisional Application:

Surcharge for late submission of Inventor Declaration \$ 130.00 Assignment Recordation Fee \$ 40.00

Total fees enclosed: \$ 170.00

Should any greater or lesser fee be required, please charge or credit our Deposit Account No. 22-0261 and advise us accordingly.

Respectfully submitted,

Date: 9-10-04

Eric J. Franklin, Reg. No. 37,134

Attorney for Applicants

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